

Express Mail No.: EL 500 575 175 US**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re Application of: Siegall *et al.*

Confirmation No.: 9908

Serial No.: 09/724,409

Examiner: Canella, K.

Filed: November 28, 2000

Group Art Unit: 1642

For: NUCLEIC ACIDS ENCODING ANTI-CD40  
ANTIBODIES

Attorney Docket No.: 9632-014

**AMENDMENT UNDER 37 C.F.R. § 1.111**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the outstanding Office Action dated March 28, 2002 and in accordance with Rule 111 of the rules of practice, please enter the following amendments and consider the remarks below in connection with the above-identified application. Applicants submit concurrently herewith: (a) a Petition for Extension of Time for three months, from June 28, 2002 up to and including September 28, 2002; (b) a Supplemental Information Disclosure Statement with List of References Cited and a copy of the reference cited; (c) Exhibit A, a marked up copy of the amended claims; (d) Exhibit B, a copy of the claims as will be pending following entry of the amendments made herein; and (e) an Amendment Fee Transmittal Sheet.

**IN THE CLAIMS:**

Please amend claims 10, 11, 13, 14 and 16-20 to read as follows:

10. (Amended) An isolated nucleic acid comprising SEQ ID NO:13, SEQ ID NO:14, and SEQ ID NO:15, which isolated nucleic acid encodes a protein that binds to CD40.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: *Siegall et al.*

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Examiner: Karen A. Canella

Filed: November 28, 2000

Group Art Unit: 1642

For: NUCLEIC ACIDS ENCODING ANTI-CD40  
PROTEINS AND METHODS OF PRODUCING  
RECOMBINANT ANTI-CD40 PROTEINS

Attorney Docket No.: 9632-014

## FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$1984.

The claim amendment fee has been estimated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDIT. FEE	OR	ADDIT. FEE
TOTAL	96	MINUS	20	=	76	x 9	\$	x 18	\$ 1368.00
INDEP.	15	MINUS	11	=	4	x 42	\$	x 84	\$ 336.00
■ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						140	\$	280	\$ 280.00
						TOTAL	\$	OR TOTAL	\$ 1984.00

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.  
A copy of this sheet is enclosed.

Respectfully submitted,

Date: September 30, 2002

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

By:

Muna Abu-Shaar  
Muna Abu-Shaar  
Limited Recognition Under 37 C.F.R. § 10.9(b)  
Copy of Certificate Enclosed

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TO: Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Examiner Karen Canella

FAX NO.: (703) 746-5195

FROM: ADRIANE M. ANTLER  
MUNA ABU-SHAAR

PAGES: 48 (Including This Cover Sheet)

DATE: January 15, 2003

We are transmitting herewith papers in connection with:

Application of: Siegall et al.

Confirmation No.: 9908

Serial No.: 09/724,409

Art Unit: 1642

Filed: November 28, 2000

Examiner: Canella, Karen A.

For: NUCLEIC ACIDS ENCODING ANTI-CD40  
PROTEINS AND METHODS OF PRODUCING  
RECOMBINANT ANTI-CD40  
PROTEINS

Attorney Docket No.: 9632-014

**PAPERS TRANSMITTED:**

Copies of documents which were originally filed on September 30, 2002:

- Amendment Under 37 C.F.R. § 1.111 (with Exhibits A & B), bearing Express Mail No. EL 500 575 175 US;
- Amendment Fee Transmittal Sheet, bearing Express Mail No. EL 500 575 175 US;
- Supplemental Information Disclosure Statement, bearing Express Mail No. EL 500 575 175 US;
- List of References Cited by Applicant, bearing Express Mail No. EL 500 575 175 US;
- Copies of References CF and CG;
- Petition for Extension of Time, bearing Express Mail No. EL 500 575 175 US;
- Copy of Certificate of Limited Recognition under 37 C.F.R. § 10.9(b); and
- Return receipt postcard bearing Express Mail No. EL 500 575 175 US.

Copies of documents indicating filing of the above documents on September 30, 2002:

- Express Mail Label bearing Express Mail No. EL 500 575 175 US, with the "date in" marked as September 30, 2002; and
- Return receipt postcard bearing Express Mail No. EL 500 575 175 US, stamped as having been received by the PTO on September 30, 2002.

If you have any problems with your reception of this facsimile transmission, please telephone Muna Abu-Shaar at (212) 790-2145.